

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Mark Muhlestein et al.)	
Serial No.: 10/035,664))	Examiner: Nguyen, Than Vinl
Filed: December 28, 2001)	Art Unit: 2187
For: ARCHITECTURE FOR CREATING AND MAINTAINING VIRTUAL)	
FILERS ON A FILER)	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 October 18, 2005

"Express Mail" Mailing-Label Number:

EV 336700524 US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry,

10/20/2005 HLE333

00000083 10035664

02 FC:1806

180.00 OP

PATENTS 112056-0022 P01-1047

was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

We enclose a check for \$180 as prescribed in 37 C.F.R. § 1.17(p). Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

James A. Blanchette

Reg. No. 51,477

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue

Boston, MA 02210-2414

(617) 951-2500

e type a plus sign (+) inside this box	Г	•
--	---	---

	PTO/SB/08A (08-00			
proved for use through	10/21/2002 OMD 0051	0024		

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known	
Application Number	10/035,664	
Filing Date	December 28, 2001	
First Named Inventor	Mark Muhlestein et al.	
Group Art Unit	2187	
Examiner Name	Nguyen, Than Vinh	
Attorney Docket Number	112056-0022	

Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
miliais	1	z/VM General Information: Version 3 Release 1.0, Second Edition, January 2001, International Business Machines Corporation	
	2	Celerra File Server Architecture for High Availability, August 1999, EMC Corporation, NY	
	3	EMC Celerra File Server: Product Description Guide, June 2000, EMC Corporation, NY	
	4	ALLISON, Jeremy, Doing the NIS/NT Samba, LinuxWorld.com, October 1, 1998, pgs. 1-9	
	5	ECKSTEIN, R., et al., Using Samba, November 1999, O'Reilly & Associates	_
٠			
i			

		-
Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

E	Applease type a plus sign (+) inside this box	→	Ī
	10 1 1 1		_

stitute for form 1449A/PTO

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ICT 18 2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/035,664
Filing Date December 28, 2001
First Named Inventor Mark Muhlestein et al.
Group Art Unit 2187
Examiner Name Nguyen, Than Vinh
Attorney Docket Number 112056-0022

(use as many sheets as necessary)

Sheet 1 of 1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Cite T² Examiner the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue <u>N</u>o.¹ Initials * number(s), publisher, city and/or country where published. z/VM General Information: Version 3 Release 1.0, Second Edition, January 2001, International Business Machines Corporation Celerra File Server Architecture for HIgh Availability, August 1999, EMC Corporation, NY EMC Celerra File Server: Product Description Guide, June 2000, EMC Corporation, NY ALLISON, Jeremy, Doing the NIS/NT Samba, LinuxWorld.com, October 1, 1998, pgs. 1-9 Samba,

Examiner	 Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.